



#4

PATENT
Our Docket: P-IS 4534

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:
Aebersold and Goodlett

Serial No.: 09/748,793

Filed: December 26, 2000

For: METHODS FOR RAPID AND
QUANTITATIVE PROTEOME
ANALYSIS

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Attention: BOX MISSING PARTS

Examiner: Not Assigned

Group Art Unit: 1645

I hereby certify that this correspondence is
being deposited with the United States
Postal Service as first class mail in an
envelope addressed to: Commissioner for Patents,
Washington, D.C. 20231, on August 13, 2001.

By Deborah L. Cadena
Deborah L. Cadena, Reg. No. 44,048

August 13, 2001
Date of Signature

CORRECTION OF FILING RECEIPT

Sir:

The filing receipt issued in the above-identified
application is incorrect for the reasons set forth below:

The filing receipt issued in the above-identified
application shows independent claims as 4. This is incorrect.
As shown on the attached copy of the Transmittal of Utility
Patent Application for Filing (Exhibit A), which was filed with
the application on December 26, 2000, the correct number of
independent claims is 3.

Attached is a copy of the Filing Receipt with the
changes noted thereon (Exhibit B). Applicants accordingly
request that these corrections be made of record and that an
amended Filing Receipt be issued.

No fee is deemed necessary in connection with the
filing of this Communication. However, if any fee is required,

Inventors: Aebersold and Goodlett
Serial No.: 09/748,793
Filed: December 26, 2000
Page 2

authorization is hereby given to charge any fees to Deposit
Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: August 13, 2001



Deborah L. Cadena
Registration No. 44,048
Telephone No.: (858) 535-9001
Facsimile No.: (858) 535-8949

CAMPBELL & FLORES LLP
4370 La Jolla Village Drive
7th Floor
San Diego, California 92122
USPTO CUSTOMER NO. 23601



PATENT
Our Docket: P-IS 4534

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COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231
Attention: BOX MISSING PARTS

August 13, 2001
Date of Signature

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed
April 11, 2001, enclosed are:

- X 1. A copy of the Notice to File Missing Parts.
- X 2. An executed Declaration for Patent Application in the
above-identified U.S. Patent Application.
- ___ 3. A Recordation Form Cover Sheet and an executed
Assignment for the above-identified U.S. Patent
Application.
- X 4. Two executed Small Entity Statements.
- ___ 5. An executed Power of Attorney for Patent Application by
Assignee.
- ___ 6. An executed Statement Under CFR 3.73(b).

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	43-20	=	23	x	\$9	\$18	= \$207	\$
INDEPEN- DENT CLAIMS	3-3	=	0	x	\$40	\$80	= \$0	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED: YES <u>x</u> NO					\$135	\$270	= \$0	\$
					BASIC FEE		\$355	\$710
					TOTAL FEE		\$562	

- X 7. Petition for two-month extension of time.
- X 8. Correction of filing receipt with Exhibits A and B.
- X 9. A check in the amount of \$822.00 to cover the filing
fee of \$562.00, the surcharge fee of \$65.00, and
\$195.00 to cover the two-month extension of time fee,
is enclosed.

Inventors: Aebersold and Goodlett
Serial No.: 09/748,793
Filed: December 26, 2000
Page 2

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.


X Any additional filing fees required under 37 C.F.R. 1.16.

X Any patent application processing fees under 37 C.F.R. 1.17.

X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: August 13, 2001

By: 
Deborah L. Cadena
Reg. No. 44,048

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4370 La Jolla Village Drive
7th Floor
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Telephone: (858) 535-9001
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USPTO CUSTOMER NO. 23601

UTILITY PATENT APPLICATION TRANSMITTAL
(only for new and continuation-in-part
nonprovisional applications under 37 CFR
1.53(b))

Docket No.:

P-IS 4534

Address to: COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231



23601

PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NUMBER: EL 690 156 345 US

DATE OF DEPOSIT: December 26, 2000

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER
37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE, AND IS
ADDRESSED TO: BOX PATENT APPLICATION, COMMISSIONER
FOR PATENTS, WASHINGTON, D.C. 20231.

RICHARD MONZON

(TYPED OR PRINTED NAME OR PERSON MAILING PAPER OR FEE)

(SIGNATURE OF PERSON MAILING PAPER OR FEE)



This is a request for filing a
☒ new utility patent application under 37 CFR 1.53(b)(1).
☐ continuation-in-part under CFR 1.53(b)(2) of prior
application serial no. _____, filed _____ (list
entire parentage).

Title: METHODS FOR RAPID AND QUANTITATIVE PROTEOME ANALYSIS

Inventor(s) (full name of each inventor): RUEDI AEBERSOLD
DAVID R. GOODLETT

Enclosed are:

- ☒ Return receipt postcard
- ☐ Patent Application Bibliographic Data Sheet
- ☒ 1 Page application cover sheet
- ☒ 88 Pages of specification (includes claims and abstract)
- ☒ 2 Sheets of drawing(s)
- ☐ Pages of an executed Declaration for Patent Application
- ☐ An executed Power of Attorney for Patent Application by Assignee
- ☐ Paper copy of sequence listing, pages _____ through _____
- ☐ Sequence listing in computer readable form
- ☐ Statement Under 37 CFR 1.821(f)
- ☐ An executed assignment and cover sheet
- ☐ An executed Statement Under 37 CFR 3.73(b)
- ☐ An executed small entity statement
- ☐ Request for Non-publication and Certification
- ☐ Also enclosed: _____

☐ This application is based on prior foreign application(s) No.(s) _____
_____, filed in _____ on _____,
respectively, and priority is hereby claimed therefrom.

☐ This application is based on, and claims the benefit of, U.S.
Provisional Application No. 60/_____, filed _____, and
entitled _____, and which is incorporated herein by
reference.

Best Available Copy

— This application is based on, and claims the benefit of, U.S. Provisional Application No. 60/_____ (yet to be assigned), filed _____, which was converted from U.S. Serial No. _____, and entitled _____, and which is incorporated herein by reference.

The filing fee has been calculated as shown below:

	Number Filed		Number Extra		Rate			Fee	
					Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	43-20	=	23	x	\$9	\$18	=	\$	\$
Indepen- dent Claims	3-3	=	0	x	\$40	\$80	=	\$	\$
Multiple Dependent Claims Presented: ___ Yes ___ No					\$135	\$270		\$	\$
					BASIC FEE			\$355	\$710
					TOTAL FEE			\$	\$


- A check in the amount of \$ _____ to cover the filing fee is enclosed.
- ☒ The payment of the filing fee is to be deferred until the Declaration is filed. Do not charge our deposit account.
- The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.

Address all future communications to:

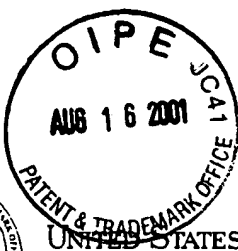
Cathryn Campbell
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USPTO CUSTOMER NO. 23601

Respectfully submitted,

Date: December 26, 2000


David A. Gay
Registration No. 39,200
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San Diego, California 92122

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UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 4
Receipt \$205
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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/748,793	12/26/2000	1645	0.00	P-IS 4534	2	43	3

CONFIRMATION NO. 3321

23601
CAMPBELL & FLORES LLP
4370 LA JOLLA VILLAGE DRIVE
7TH FLOOR
SAN DIEGO, CA 92122

FILING RECEIPT



OC00000005958419

Date Mailed: 04/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ruedi Aebersold, Residence Not Provided;
David R. Goodlett, Residence Not Provided;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 04/11/2001

Projected Publication Date: To Be Determined.- pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

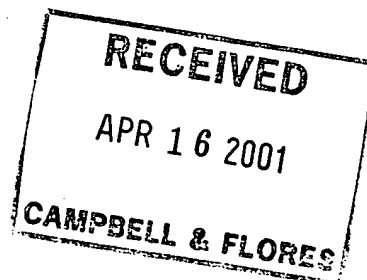
Title

Methods for rapid and quantitative proteome analysis

Preliminary Class

435

EXHIBIT B





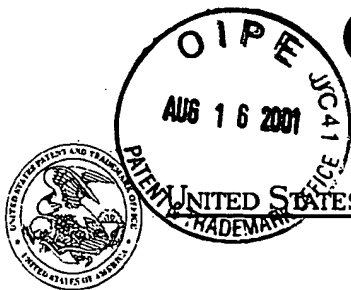
Copy of Notice to File Missing
Parts

Attorney Docket No.: P-IS 4534
Serial No.: 09/748,793

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envelope addressed to: Commissioner for Patents, Washington, D.C.
20231, on August 13, 2001.

By Deborah L. Cadena
Deborah L. Cadena, Reg. No. 44,048

August 13, 2001
Date of Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/748,793	12/26/2000	Ruedi Aebersold	P-IS 4534

CONFIRMATION NO. 3321

23601
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7TH FLOOR
SAN DIEGO, CA 92122

FORMALITIES LETTER



OC000000005958420

Date Mailed: 04/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/20/2001 SMINASS1 00000017 09748793

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 OP
02 FC:203	207.00 OP
03 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$494.
 - \$414 for 23 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1334.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE